# Deep Cooperation of Local Search and Unit Propagation Techniques

Xiamin Chen, Zhendong Lei, and Pinyan Lu

Shanghai University of Finance and Economics Institution of Theoretical Computer Science Huawei Taylor Lab

September 6, 2024



#### 1. Introduction

#### 2. Main Idea

3. Experimental Results

#### Notations

- Variables:  $x_1, x_2, ..., x_n \in \{0, 1\}$
- Literals:  $I_i \equiv x_*$  or  $I_i \equiv \neg x_*$  for some variable  $x_*$
- Clauses:  $C_j = l_1 \vee l_2 \vee \cdots \vee l_k$  with a weight  $w_j$ .
  - is hard if  $w = \infty$
  - is **soft** if  $w < \infty$
- Assignment  $\alpha$ : maps variables  $x_*$  to 0 or 1.
  - is **complete** if all variables are mapped.
  - is **partial** if some variables are undecided.

#### Partial MaxSAT and Pseudo-Boolean Optimization

#### Partial MaxSAT

- hard clauses  $H = (C_1, C_2, ..., C_n)$ .
- Soft clauses  $S = (S_1, S_2, \ldots, S_m)$  with weight  $w_1, w_2, \ldots, w_m$ .
- Objective: Find assignment  $\alpha^*$  satisfying H, minimizing unsatisfied weight sum of S.

#### Pseudo-Boolean Optimization (PBO)

minimize:

$$\sum_{j} c_{oj} l_{oj}$$

subject to

$$\sum_j c_{ij} I_{ij} \geq d$$
 ,for  $i=1,2,\ldots,m$ 

where  $I_{ij}$  are literals based on variables  $x_1, \ldots, x_n \in \{0, 1\}$ .

#### Transformations between PMS and PBO

Clauses can be written in PB constraints easily because the latter is more expressive.



For clarification, we use the term constraints and objective in the rest of this presentation.

### The Local Search Algorithm



- Begins with a complete assignment.
- Improves by flipping (local view).
- Quick convergence to good solutions.
- Difficult to leave local optimum and reach global optimum.

### Scoring System of Local Search in PBO

The score of flipping a variable is the weighted sum of contributes in each constraints. Here is an example:

	before	after	bound	contribute	weight
cons1	4	6	5	1 (make)	x2
cons2	1	3	10	2 (improve)	×1
cons3	3	1	3	-2 (break)	×1
cons4	7	5	5	0 (keep)	×1

flip score = 2

Trouble: Local search is trapped in local optima if no variable has positive score.

# **Existing Techniques**

• Tabu-Search-related candidate filtering. CCAnr [Cai et al. 2015].

To avoid looping, any variable should not be flipped again, until its neighborhood has changed.

• Dynamic clause weighting. SATLike [Cai & Lei 2020]

Add weights to unsatisfied constraints, to emphasize important constraints, as well as to find new greedy flips.

 Reinforcement-Learning-based variable selection. BandMaxSAT [Zheng et al. 2022] Instead of referring to the scores, choose the variable with highest UCB to flip at local optima.

These methods are highly effective, but the challenge persists ...

#### **Decreasing Effectiveness of Local Search Solvers**



Figure: Tracing the anytime-results of NuWLS in different cutoff times

### **Motivation**

- 1. We want to introduce some systematic methods to local search to boost its exploration.
- 2. The power of local search decreases after 60 seconds, we need to do something to make good use of the rest of time for new breakthroughs.
- 3. We will focus on multi-step flips guided by unit propagation to escape from local optima.
- 4. The implementation of unit propagation must be light-weighted and time-efficient, so it can be planted into many local search solvers.

#### Main Contribution

- 1. Use unit propagation to guide a multi-step flip to leave the local optimum.
- 2. Following the greedy steps to a new local optimum.
- 3. Reject worse new local optima (waste of time) or accept better ones (success).



# **Invocation Circumstances**

Meeting local optima.

- Calls UP every k times of local optima. (k ranges dynamically in [10, 2560].)
- Balancing gains from UP and time cost.
- UP is just one option. We need to allow original heuristics to take effect, such as clause-weighting schemes.



When restarts: revisit the best-found solution.

- Solver needs to restart because it is drowned in hopeless neighborhoods.
- Good solutions cluster together, so better ones can be found nearby.
- Stress more on intensification.

# The Implementation of Unit Propagation

The relationships of unit propagation are derived from binary constraints.

in PMS:	in PBO:
$\mathbf{x}_1 \lor \mathbf{x}_2$	$3x_1 + x_2 + x_3 + x_4 \ge 3$

Once the propagation of some variable  $x_i$  is needed, check all binary constraints containing  $x_i$ , then restore propagated literals in cache. Pros:

- Light-weighted. No calculation until being needed. For the first time, the complexity is O(n). For the next time, call it from cache.
- Independent. Only related to the model itself, no further CDCL structure is needed. Cons:
  - Insufficient. Some secondary relationships may be omitted. But the subsequent greedy steps will compensate for this.

# **Planting Our Framework in Different Algorithms**

We have implemented our approach in LS-PBO, NuPBO, Satlike3.0 and NuWLS. Actually, it can be used in many other local search solvers because

- The strategy is basically doing multi-step flips, can be regarded as an alternative of a common flip. Call it as long as you want.
- It is easy and quick to derive unit propagation relationships through searching its existing constraints.
- (Future work) For other problems without linear constraints as in PMS and PBO, but with other means of constraints, as long as propagation exists, one can always keep this strategy as a weapon.

## **Experimental Results**

benchmark	#inst.	<b>#win</b> .	#lose.	nuwls <sub>ss</sub>	nuwls	accept(%)	dist	step(%)
MSE19	299	74	38	0.8322	0.7963	28	4.5	85
MSE20	262	75	30	0.8219	0.7882	30	5.0	86
MSE21	155	34	19	0.7991	0.7723	27	4.7	84
MSE22	179	46	25	0.8044	0.7856	28	4.0	86
MSE23	179	61	30	0.8158	0.8032	25	5.3	86

Table: Experiment results of NuWLS on MSE benchmarks.

- *accept(%)* is the rate of accepted local optima over the total number UP-flip called.
- *dist* denotes the average Hamming distance between two local optima before and after an accepted UP-flip.
- *step(%)* is the rate of valid flips compared with the original NuWLS solver.

#### **Experimental Results**



Figure: The average score of testing on benchmark MSE19, tracing the anytime-results of modified and original NuWLS in different cutoff times.

Thank you.