# Encoding the Hamiltonian Cycle Problem into SAT Based on Vertex Elimination

Neng-Fa Zhou

CUNY Brooklyn College and the Graduate Center

CP'24, 9/6/2024

- The Hamiltonian Cycle Problem (HCP)
  - Given a directed graph G = (V, E), synthesize a subgraph  $H_G = (H_V, H_E)$  which is cycle connecting each and every vertex in  $H_v$  exactly once.
    - Degree and no-sub-cycle constraints
- Existing SAT Encodings of HCP
  - Distance (position) encoding [MiniZinc, Zhou20]
  - Reachability (relative) encoding [Prestwich03]
  - Bijection encoding [HertelHU07]
  - Lazy (incremental) encodings [Dantzig54,SohBRBT14,Heule21]

#### • Vertex elimination

- Simplify a complex graph by removing certain vertices from the graph while preserving important properties.
- Vertex elimination encoding for directed graph acyclicity [RankoohR22]
  - $\bullet\,$  Good for sparse graphs  $\textcircled{\sc o}$  , but expensive for dense graphs  $\textcircled{\sc o}$
- A hybrid encoding based on vertex elimination and leaf elimination [ZhouWY23] ©
- Can vertex elimination be used to encode HCP?



- Given a directed graph G = (V, E)
- Synthesize a subgraph  $H_G = (H_V, H_E)$

• 
$$H_V = \{ v \mid v \in V, b_v = 1 \}$$

• 
$$H_E = \{(u, v) \mid (u, v) \in E, b_{uv} = 1\}$$

• The circuit and subcircuit constraints are special cases.

- Let k be the cardinality of  $H_V$ :  $k = \sum_{v \in V} b_v$ .
- For each vertex v,  $s_v = 1$  iff v is the starting vertex.
- For each vertex v, d<sub>v</sub> (0 ≤ d<sub>v</sub> ≤ n − 1) is v's distance from the starting vertex in H<sub>G</sub>.
- Degree constraints

For each  $v \in V$ :  $k > 1 \land b_v \rightarrow \sum_{(u,v) \in E} b_{uv} = 1$  (D-1)  $k > 1 \land b_v \rightarrow \sum_{(v,w) \in E} b_{vw} = 1$  (D-2)

## The Distance Encoding for HCP (Cont.)

• Constraints on the starting vertex

$$k > 1 \rightarrow \sum_{v \in V} s_v = 1$$
For each  $v \in V$ :
$$s_v \rightarrow b_v$$

$$s_v \rightarrow d_v = 0$$
(D-3)
(D-3)
(D-4)
(D-5)

Distance constraints

For each 
$$(u, v) \in E$$
:  $b_{uv} \wedge \neg s_v \rightarrow d_v = d_u + 1$  (D-6)

- Encoding size
  - $O(n^3)$  if unary encoding is used for distance variables
  - $O(n^2 \times log_2(n))$  if binary encoding is used for distance variables

### Vertex Elimination Encoding for HCP

• Vertex elimination  $G = (V, E) \rightarrow G' = (V', E')$ 



$$V' = V \times \{v\}$$
  

$$E' = E \setminus \{(u, v) \mid (u, v) \in E\}$$
  

$$\setminus \{(v, w) \mid (v, w) \in E\}$$
  

$$\cup \{(u, w) \mid u \in nbs^{-}(v), w \in nbs^{+}(v), u \neq w\}$$

where

$$nbs^{-}(v) = \{u \mid (u, v) \in E\} nbs^{+}(v) = \{w \mid (v, w) \in E\}.$$

• For each vertex  $u \in V'$ :  $b'_u = b_u$ 

• For each arc  $(u, w) \in E' \cap E$ : if  $(u, v) \notin E$  or  $(v, w) \notin E$ , then  $b_{uw} = b'_{uw}$ 

### Vertex Elimination Encoding for HCP (Cont.)

Degree constraints on the eliminated vertex v

$$k > 1 \land b_{v} \to \sum_{(u,v) \in E} b_{uv} = 1$$
(VE-1)  

$$k > 1 \land b_{v} \to \sum_{(v,w) \in E} b_{vw} = 1$$
(VE-2)

No cycle of size 2 involving the eliminated vertex v

For each 
$$(u, v) \in E$$
, if  $(v, u) \in E$ :  
 $k' > 1 \rightarrow \neg b_{uv} \lor \neg b_{vu}$  (VE-3)

• A unique path from *u* to *w* through *v* 

For each 
$$(u, w) \in (E' \setminus E)$$
:  $b'_{uw} \to b_{uv} \wedge b_{vw}$  (VE-4)  
 $\sum_{(u,w)\in E' \setminus E} b'_{uw} \le 1$  (VE-5)

### Vertex Elimination Encoding for HCP (Cont.)

• Ensure the mapping:  $H_{G'} \leftrightarrow H_G$ 



For each 
$$(u, v) \in E$$
,  $(v, w) \in E$ ,  $u \neq w$ :  
 $b_{uv} \wedge b_{vw} \rightarrow b'_{uw}$  (VE-6)  
 $b_{uv} \wedge b_{vw} \rightarrow \neg b_{uw}$  (VE-7)

For each 
$$(u, v) \in E$$
,  $(v, w) \in E$ ,  $u \neq w$ :  
 $\neg b_{uv} \lor \neg b_{vw} \rightarrow b_{uw} = b'_{uw}$  (VE-8)

## Vertex Elimination Encoding for HCP (Cont.)

- The correctness of VEE is guaranteed by the fact that a Hamiltonian cycle in G' corresponds to a Hamiltonian path from a neighbor w in nbs<sup>+</sup>(v) to a neighbor u in nbs<sup>-</sup>(v) of the eliminated vertex v (u ≠ w), and the path can be extended to a cycle by adding the arcs (u, v) and (v, w).
- Encoding size
  - $O(n^3)$  variables
  - $O(n^4)$  clauses.

## Hybridize Distance and Vertex Elimination Encodings

- When the graph is sparse, use vertex elimination encoding
- When the graph is dense, use distance encoding
- Strategy used in the experiment:
  - if  $d \times \sigma > n$ , switch to distance encoding
    - *d* is the smallest degree
    - $\sigma$  is the total number of eliminated vertices so far.

#### Implementation and Experimental Results

- Available in Picat version 3.7 with Kissat (picat-lang.org)
- Results on the Knight's Tour problem (seconds)

| Instance | VEE     | DIST   | HYBRID |
|----------|---------|--------|--------|
| kt12     | 28.75   | 7.11   | 0.32   |
| kt14     | 135.80  | 5.77   | 1.23   |
| kt16     | 614.23  | 118.45 | 2.72   |
| kt18     | 1050.80 | 16.55  | 3.65   |
| kt20     | ТО      | 20.70  | 6.16   |
| kt22     | ТО      | 19.60  | 19.21  |
| kt24     | ТО      | 76.31  | 46.03  |
| kt26     | ТО      | ТО     | 116.14 |
| kt28     | ТО      | ТО     | 192.73 |
| kt30     | ТО      | ТО     | 200.98 |

## Experimental Results (Cont.)

#### • Results on Flinders instances (seconds)

| Instance | VEE    | DIST   | HYBRID |
|----------|--------|--------|--------|
| graph162 | TO     | 33.89  | 39.47  |
| graph171 | 45.38  | 5.35   | 50.29  |
| graph197 | 78.64  | 13.16  | 488.38 |
| graph223 | ТО     | 80.05  | 200.71 |
| graph237 | 125.66 | 12.27  | 237.51 |
| graph249 | 62.48  | 1.89   | 61.04  |
| graph252 | 182.27 | 18.57  | 468.85 |
| graph254 | 84.55  | ТО     | 338.34 |
| graph255 | 245.61 | 31.30  | 66.49  |
| graph48  | 0.75   | 217.88 | 64.96  |

- Knight's Tour 40×40
  - DIST fails to solve it in 24 hours!
  - VEE fails to translate the instance to CNF.
  - **HYBRID** solves it in 2711 seconds. → A big advance of the SOTA!

#### Contributions

- A working encoding based on vertex elimination for HCP
- A hybrid encoding that combines VEE and distance encoding for HCP
- Very encouraging results
- Further work
  - Is it possible to lower the encoding size of the basic VE encoding?
  - What is the best switching strategy?
  - What encodings dould be hybridized with VEE?

Thank you! Questions?